

AUDIT AND ASSURANCE COMMITTEE

Forward Plan 2020

17 September 2020	
Corporate Risk - Deep Dive	Risk owner
Quarter 1 – Corporate Risk Report	Helen Kirk/Julie Crellin
Internal Audit Progress Report to 31 July 2020	Richard McGahon
Local Government and Social Care Ombudsman – Annual Review Letter	Iolanda Puzio
Senior Information Risk Owner (SIRO) and Information Governance Annual Report	Iolanda Puzio
Grant Thornton – External Audit – Audit Plan Cumbria County Council 2019/20	Gareth Kelly
External Audit – Fees letter 2020/21	Gareth Kelly
External Audit Progress and Update Report 2020/21	Gareth Kelly

23 November 2020	
Annual Governance Statement 2019/20	Iolanda Puzio
Accounts Overview 2019/20 a) Letter of representation – Cumbria County Council b) Letter of representation – Cumbria Local Govt. Pensions Scheme	Paul Turney/Fiona Parker/Alison Clark/Julie Crellin
Audited Statement of Accounts 2019/20	Julie Crellin/Fiona Parker
Port of Workington Statement of Accounts 2019/20	Julie Crellin/Fiona Parker
Internal Audit Progress Report to the 31 October 2020	Richard McGahon
External Audit Findings – Cumbria County Council 2019/20	Gareth Kelly
External Audit Findings – Cumbria Local Government Pensions Scheme 2019/20	Gareth Kelly

January 2021 (TBC)	
Corporate Risk - Deep Dive	Risk owner
Quarter 2 – Corporate Risk Report	Helen Kirk/Julie Crellin
Draft Annual Treasury Management Strategy Statement 2021/2020	Julie Crellin/Peter George
Internal Audit Progress Report to the 30 November 2020	Richard McGahon
External Audit - Audit Progress Report	Gareth Kelly

11 March 2021	
Deep Dive	
Quarter 3 – Risk Report	Helen Kirk
Accounts Preparation 2020/21	Fiona Parker
Internal Audit Progress Report to 31 January 2021	Richard McGahon
Draft Internal Audit Plan	Richard McGahon
External Audit – Audit Plan Cumbria County Council	Gareth Kelly
External Audit – Audit Plan Cumbria Local Government Pension Scheme	Gareth Kelly
External Audit – progress and update report	Gareth Kelly
External Audit – Teacher’s Pension return: Confirmation Letter	Gareth Kelly