

# Appendix 1

## 2020/21 QUARTER 1 - RISK REFRESH STATUS Comparison of Quarter 4 & Quarter 1 by Clusters

2019/20 QUARTER 4				2020/21 QUARTER 1		
Risk Headings by Cluster	Risk Score	Target score	Summary of risk changes	Risk Headings by Cluster	Risk Score	Target Score
<b>CROSS CUTTING</b>						
Impact of COVID-19 on the delivery of Council Services	20	20	This risk <b>will remain</b> on the 2020/21 risk register <b>unchanged</b>	Impact of COVID-19 on the provision of Council Services	20	20
	<b>New Risk</b>		The previous emerging Brexit risk has been escalated as we head towards the end of the transition period without an agreed trade deal.	Impact of exiting the Brexit transition period without a trade deal on the provision of Council Services	9	9
<b>PEOPLE</b>						
Prevention of & Placement Sufficiency for Children Looked After	20	20	This <b>risk description has been rewritten</b> to address the wider risk of a further increase in demand for Children's Services as a secondary consequence of COVID-19.	Increasing demand on Children's Services	16	16
	<b>New Risk</b>		A <b>new risk</b> has been developed to address the possibility that the recently published Cumbria COVID-19 Outbreak Plan fails to prevent the extent and severity of further COVID-19 outbreaks.	Cumbria COVID-19 Local Outbreak Control Plan	15	15
Safeguarding of Children	10	10	This risk <b>will remain</b> on the 2020/21 risk register <b>unchanged</b> .	Safeguarding of Children	10	10
Safeguarding of Adults	10	10	This risk will remain on the 2020/21 risk register <b>unchanged</b> .	Safeguarding of Adults	10	10
Health & Social Care Integration	8	8	This <b>risk description has been rewritten</b> to reflect the ongoing impact, secondary impact and possible escalating impact of COVID-19 and added impact of winter pressures	Health and Social Care Demand and System Failure	16	16
<b>FINANCE</b>						
Deliver a Financially Sustainable Authority	20	20	This risk will <b>remain</b> on the 2020/21 risk register <b>unchanged</b> .	Deliver a Financially Sustainable Authority	20	20
<b>CORPORATE, CUSTOMER &amp; COMMUNITY SERVICES</b>						
Workforce Capacity	20	15	This risk will <b>remain</b> on the 2020/21 risk register <b>unchanged</b> .	Workforce Capacity	20	20
Care needs and Continuity of Care	15	15	This <b>risk has been rewritten</b> to reflect the ongoing impact and possible escalating impact of COVID-19 and added impact of winter pressures	Resilience of the care sector and impact on meeting care needs	16	16
Learning Disability Partnership arrangements	15	10	This risk will be <b>closed off</b> from the risk register and managed at a Directorate Level.	Risk to be removed from register.	<b>Closed off</b>	
Information Security Arrangements	15	10	This risk <b>remains</b> on the 2020/21 risk register <b>unchanged</b> . Although appropriate controls are in place and compliance assurance is improving, the global threat of ICT security remains an ongoing risk that requires close management.	Information Security Arrangements	15	15
Commissioning Strategy	12	12	This <b>risk has been rewritten</b> to reflect the ongoing impact and possible escalating impact of COVID-19 and added impact of winter pressures	Resilience of the care sector and impact on meeting care needs	16	16
Management of Significant Contracts	10	10	This risk <b>will remain</b> on the 2020/21 risk register <b>unchanged</b> . The management of each Significant Contract and associated risks continues to be managed at a Directorate level, with escalation to CMT as appropriate.	Management of Significant Contracts	10	10