

AUDIT AND ASSURANCE COMMITTEE

Forward Plan 2020

23 November 2020	
Annual Governance Statement 2019/20	Iolanda Puzio
Accounts Overview 2019/20 a) Letter of representation – Cumbria County Council b) Letter of representation – Cumbria Local Govt. Pensions Scheme	Paul Turney/Fiona Parker/Alison Clark/Julie Crellin
Audited Statement of Accounts 2019/20	Julie Crellin/Fiona Parker
Port of Workington Statement of Accounts 2019/20	Julie Crellin/Fiona Parker
External Audit Findings – Cumbria County Council 2019/20	Gareth Kelly
External Audit Findings – Cumbria Local Government Pensions Scheme 2019/20	Gareth Kelly
Internal Audit Progress Report to the 31 October 2020	Richard McGahon
Draft Annual Treasury Management Strategy Statement 2021/2022	Julie Crellin/Peter George

18 January 2021	
Corporate Risk - Deep Dive	Risk owner
Quarter 2 – Corporate Risk Report	Helen Kirk/Julie Crellin
Internal Audit Progress Report to the 15 December 2020	Richard McGahon
Redmond Review Report	Julie Crellin / Richard McGahon
Accounts 2019/20 – Update report (if required)	Julie Crellin
External Audit - Audit Progress Report	Gareth Kelly

11 March 2021	
Deep Dive	
Quarter 3 – Risk Report	Helen Kirk
Accounts Preparation 2020/21	Fiona Parker
Internal Audit Progress Report to 31 January 2021	Richard McGahon
Draft Internal Audit Plan 2021/22	Richard McGahon
External Audit – Audit Plan Cumbria County Council	Gareth Kelly
External Audit – Audit Plan Cumbria Local Government Pension Scheme	Gareth Kelly
External Audit – progress and update report	Gareth Kelly
External Audit – Teacher’s Pension return: Confirmation Letter	Gareth Kelly

17 June 2021 (provisional)	
Deep Dive	
Quarter 4 – Risk Report	Helen Kirk/Julie Crellin
Annual Review Audit and Assurance Committee Effectiveness	Julie Crellin
Internal Audit Annual Report 2020/21	Richard McGahon
Draft Corporate Risk Register 2021/22	Helen Kirk/Julie Crellin
Senior Information Risk Owner (SIRO) and Information Governance Annual Report	Steve Tweedie
External Audit – Fees letter 2020/21	Gareth Kelly

14 September 2021 (provisional)	
Annual Governance Statement 2020/21	Iolanda Puzio
Accounts Overview 2020/21 a) Letter of representation – Cumbria County Council b) Letter of representation – Cumbria Local Govt. Pensions Scheme	Paul Turney/Fiona Parker/Alison Clark/Julie Crellin
Audited Statement of Accounts 2020/21	Julie Crellin/Fiona Parker
Port of Workington Statement of Accounts 2020/21	Julie Crellin/Fiona Parker
External Audit Findings – Cumbria County Council 2020/21	Gareth Kelly
External Audit Findings – Cumbria Local Government Pensions Scheme 2020/21	Gareth Kelly

27 September 2021 (provisional)	
Deep Dive	
Quarter 1 – Risk Report	Helen Kirk/Julie Crellin
Internal Audit Progress Report to 31 July 2021	Richard McGahon
Annual Audit Letter 2020/21	Gareth Kelly
External Audit Progress and Update Report 2021/22	Gareth Kelly