

AUDIT AND ASSURANCE COMMITTEE

Forward Plan 2020

| 11 March 2021 | |
|---|-----------------|
| Deep Dive | |
| Quarter 3 – Risk Report | Helen Kirk |
| Accounts Preparation 2020/21 | Fiona Parker |
| Internal Audit Progress Report to 31 January 2021 | Richard McGahon |
| Draft Internal Audit Plan 2021/22 | Richard McGahon |
| External Audit – Audit Plan Cumbria County Council | Gareth Kelly |
| External Audit – Audit Plan Cumbria Local Government Pension Scheme | Gareth Kelly |
| External Audit – progress and update report | Gareth Kelly |
| External Audit – Teacher’s Pension return: Confirmation Letter | Gareth Kelly |

| 14 June 2021 | |
|---|--------------------------|
| Deep Dive | |
| Quarter 4 – Risk Report | Helen Kirk/Julie Crellin |
| Annual Review Audit and Assurance Committee Effectiveness | Julie Crellin |
| Internal Audit Annual Report 2020/21 | Richard McGahon |
| Draft Corporate Risk Register 2021/22 | Helen Kirk/Julie Crellin |
| Senior Information Risk Owner (SIRO) and Information Governance Annual Report | Steve Tweedie |
| External Audit – Fees letter 2020/21 | Gareth Kelly |

| 14 September 2021 | |
|---|--------------------------|
| Deep Dive | |
| Quarter 1 – Risk Report | Helen Kirk/Julie Crellin |
| Internal Audit Progress Report to 31 July 2021 | Richard McGahon |
| External Audit Progress and Update Report 2021/22 | Gareth Kelly |

| 27 September 2021 | |
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| Annual Governance Statement 2020/21 | Iolanda Puzio |
| Accounts Overview 2020/21 a) Letter of representation – Cumbria County Council b) Letter of representation – Cumbria Local Govt. Pensions Scheme | Paul Turney/Fiona Parker/Alison Clark/Julie Crellin |
| Audited Statement of Accounts 2020/21 | Julie Crellin/Fiona Parker |
| Port of Workington Statement of Accounts 2020/21 | Julie Crellin/Fiona Parker |
| External Audit Findings – Cumbria County Council 2020/21 | Gareth Kelly |
| External Audit Findings – Cumbria Local Government Pensions Scheme 2020/21 | Gareth Kelly |

| 3 December 2021 | |
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| Corporate Risk - Deep Dive | Risk owner |
| Quarter 2 – Corporate Risk Report | Helen Kirk/Julie Crellin |
| Draft Annual Treasury Management Strategy Statement 2022/2023 | Julie Crellin/Peter George |
| Internal Audit Progress Report 31 October 2021 | Richard McGahon |
| Annual Audit Scope Letter | Gareth Kelly |
| External Audit - Audit Progress Report | Gareth Kelly |

| 24 March 2022 | |
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| Deep Dive | |
| Quarter 3 – Risk Report | Helen Kirk |
| Accounts Preparation 2021/22 | Fiona Parker |
| Internal Audit Progress Report to 31 January 2022 | Richard McGahon |
| Draft Internal Audit Plan 2022/23 | Richard McGahon |
| External Audit – Audit Plan Cumbria County Council | Gareth Kelly |
| External Audit – Audit Plan Cumbria Local Government Pension Scheme | Gareth Kelly |
| External Audit – progress and update report | Gareth Kelly |
| External Audit – Teacher’s Pension return: Confirmation Letter | Gareth Kelly |