

AUDIT AND ASSURANCE COMMITTEE

Forward Plan 2021

| 14 September 2021 | |
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| Corporate Risk - Deep Dive | Risk Owner |
| Quarter 1 – Risk Report | Helen Kirk/Director of Finance |
| Internal Audit Progress Report to 31 July 2021 | Richard McGahon |
| Senior Information Risk Owner (SIRO) and Information Governance Annual Report | Dawn Roberts |
| Local Government Social Care Ombudsman Annual Review Letter | Iolanda Puzio |
| External Audit Progress and Update Report 2021/22 | Gareth Kelly |

| 27 September 2021 | |
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| Accounts Overview 2020/21 a) Letter of representation – Cumbria County Council b) Letter of representation – Cumbria Local Govt. Pensions Scheme | Paul Turney/Fiona Parker/Alison Clark/Director of Finance |
| Audited Statement of Accounts 2020/21 | Director of Finance/Fiona Parker |
| Port of Workington Statement of Accounts 2020/21 | Director of Finance/Fiona Parker |
| External Audit Findings – Cumbria County Council 2020/21 | Gareth Kelly |
| External Audit Findings – Cumbria Local Government Pensions Scheme 2020/21 | Gareth Kelly |

| 3 December 2021 | |
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| Corporate Risk - Deep Dive Increasing Demand on Children’s Services risk with a focus on the impact of Covid-19 on Vulnerable Young People | Executive Director - People |
| Quarter 2 – Corporate Risk Report | Helen Kirk/Director of Finance |
| Draft Annual Treasury Management Strategy Statement 2022/2023 | Director of Finance/Peter George |
| Internal Audit Progress Report 31 October 2021 | Richard McGahon |
| Annual Audit Scope Letter | Gareth Kelly |
| External Audit – Annual Report | Gareth Kelly |

| 24 March 2022 | |
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| Corporate Risk - Deep Dive Organisational impact of Local Government Reform risk. | Executive Director – Corporate, Customer and Community Services |
| Quarter 3 – Risk Report | Helen Kirk |
| Accounts Preparation 2021/22 | Fiona Parker |
| Internal Audit Progress Report to 31 January 2022 | Richard McGahon |
| Draft Internal Audit Plan 2022/23 | Richard McGahon |
| External Audit – Audit Plan Cumbria County Council | Gareth Kelly |
| External Audit – Audit Plan Cumbria Local Government Pension Scheme | Gareth Kelly |
| External Audit – progress and update report | Gareth Kelly |
| External Audit – Teacher’s Pension return: Confirmation Letter | Gareth Kelly |

| 14 June 2022 | |
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| Quarter 4 – Risk Report | Helen Kirk/Pam Duke |
| Annual Review Audit and Assurance Committee Effectiveness | Director of Finance |
| Accounts Preparation Update 2021/22 | Director of Finance |
| Internal Audit Annual Report 2021/22 | Richard McGahon |
| Draft Corporate Risk Register 2022/23 | Helen Kirk/Director of Finance |
| Annual Governance Statement 2021/22 | Iolanda Puzio |
| External Audit Plans for Cumbria County Council accounts | Gareth Kelly |
| External Audit Plans for LGPS accounts | Gareth Kelly |
| External Audit – Fees letter 2021/22 | Gareth Kelly |