

Appendix 1

2021/22 QUARTER 1 - CORPORATE RISK SUMMARY

CORPORATE RISKS		Risk Owner	Q4	Q1	Target	DOT	CORPORATE RISK PROFILE (Risk Score = Likelihood x Impact)																																				
1	Deliver a Financially Sustainable Authority	PD	20	20	20	→	<table border="1"> <tr> <td>Impact \ Likelihood</td> <td>1</td> <td>2</td> <td>3</td> <td>4</td> <td>5</td> </tr> <tr> <td>5</td> <td></td> <td></td> <td></td> <td>3</td> <td></td> </tr> <tr> <td>4</td> <td></td> <td></td> <td></td> <td>4, 5</td> <td>1, 2</td> </tr> <tr> <td>3</td> <td></td> <td></td> <td></td> <td></td> <td>6, 7, 8, 9</td> </tr> <tr> <td>2</td> <td></td> <td></td> <td></td> <td></td> <td>10, 11, 12</td> </tr> <tr> <td>1</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> </table>	Impact \ Likelihood	1	2	3	4	5	5				3		4				4, 5	1, 2	3					6, 7, 8, 9	2					10, 11, 12	1					
Impact \ Likelihood	1	2	3	4	5																																						
5				3																																							
4				4, 5	1, 2																																						
3					6, 7, 8, 9																																						
2					10, 11, 12																																						
1																																											
2	Workforce Capacity	TB/PR	20	20	20	→																																					
3	Resilience of the Care Sector and impact on meeting care needs	JA	20	20	20	↓																																					
4	Health and Social Care Demand and System Failure	CW/FM	16	16	16	↓																																					
5	Increasing Demand on Children's Services	LB	16	16	16	→																																					
6	Impact of COVID-19 on the provision of Council Services	ELT	15	15	15	→																																					
7	Cumbria COVID-19 Local Outbreak Control Plan	CC	15	15	12	→																																					
8	Information Security Arrangements	PR/IP	15	15	15	→																																					
9	Local Government Reform	DR	n/a	15	15	n/a																																					
10	Management of Significant Contracts	JA	10	10	10	→																																					
11	Safeguarding of Children	LB/FM	10	10	10	→																																					
12	Safeguarding of Adults	CW/FM	10	10	10	→																																					
							<table border="1"> <tr> <td colspan="3">Risk changes since last report.</td> <td colspan="3">Horizon Scanning</td> </tr> <tr> <td colspan="3"> <p>At the end of Q1 2021/22, one new risk has been added to the register and one risk removed from the register.</p> <p>A Local Government Reform risk has been added to the register and the risk associated with exiting the EU transition period without a deal has been removed from the register.</p> </td> <td colspan="3"> <p>During Quarter 1, the evolving areas of risk including that of Climate Change, Cumbria COVID-19 Recovery and a further organisational LGR risk are under review and will be developed further during Quarter 2.</p> </td> </tr> </table>	Risk changes since last report.			Horizon Scanning			<p>At the end of Q1 2021/22, one new risk has been added to the register and one risk removed from the register.</p> <p>A Local Government Reform risk has been added to the register and the risk associated with exiting the EU transition period without a deal has been removed from the register.</p>			<p>During Quarter 1, the evolving areas of risk including that of Climate Change, Cumbria COVID-19 Recovery and a further organisational LGR risk are under review and will be developed further during Quarter 2.</p>																										
Risk changes since last report.			Horizon Scanning																																								
<p>At the end of Q1 2021/22, one new risk has been added to the register and one risk removed from the register.</p> <p>A Local Government Reform risk has been added to the register and the risk associated with exiting the EU transition period without a deal has been removed from the register.</p>			<p>During Quarter 1, the evolving areas of risk including that of Climate Change, Cumbria COVID-19 Recovery and a further organisational LGR risk are under review and will be developed further during Quarter 2.</p>																																								