

Appendix 3

QUARTER 2 - RISK MANAGEMENT IMPROVEMENT PLAN

2019/20 Timings	Rationale for Improvement	Risk Management Improvement Activity	Who	By when
Delivery during Q2/Q3	Annual review and improvement of Risk Management Policy & associated documents. Action from the internal audit of the Performance & Risk Management Framework.	Risk Management Policy & Strategy Improvement <ul style="list-style-type: none"> - The Risk Management Policy, guidance documents & templates have been reviewed and refreshed. - All documents have been updated on the Council's intranet. 	HK	End Dec 2019
Delivery during Q3/Q4	To underpin the Council's Anti-Fraud Strategy and support the annual Fraud risk assessment and review.	Anti-Fraud Risk Assessment Training <ul style="list-style-type: none"> - Work is progressing to develop a new Anti-Fraud Strategy - Deliver Anti-Fraud risk assessment training to relevant service leads, enabling them to document their own fraud risk assessments and manage their risks accordingly. 	HK/ RM/ ZM	End Feb 2020
Delivery during Q4 (Jan-March 20)	Recommended action from Statutory Officers Group.	Risk Appetite <ul style="list-style-type: none"> - Evaluate Risk Appetite across the Council and establish statement - Define how appetite translates into Risk Management & decision making processes. 	HK/ ZM	End March 2020
Delivery during Q4 (Jan-March 20)	Action from the internal audit of the Performance & Risk Management Framework.	Risk Management Training <ul style="list-style-type: none"> - Work with L&D to refresh Risk Management e-learning 	HK /L&D	End March 2020
End of March 2020	Recommended action from CFA Impact assessment workshop and report.	Ongoing Criminal Finances Act (CFA) Compliance Activity <ul style="list-style-type: none"> - Progress the selection and procurement of a suitable contractor who will undertake a comprehensive Tax Evasion Risk Assessment and be able to provide relevant training to ensure ongoing compliance. 	AC	End March 2020