

AUDIT AND ASSURANCE COMMITTEE

Forward Plan 2021

27 September 2021	
Accounts Overview 2020/21 a) Letter of representation – Cumbria County Council b) Letter of representation – Cumbria Local Govt. Pensions Scheme	Paul Turney/Fiona Parker/Alison Clark/Pam Duke
Audited Statement of Accounts 2020/21	Pam Duke/Fiona Parker
Port of Workington Statement of Accounts 2020/21	Pam Duke/Fiona Parker
Annual Governance Statement 2020/21	Iolanda Puzio
External Audit Findings – Cumbria County Council 2020/21	Gareth Kelly
External Audit Findings – Cumbria Local Government Pensions Scheme 2020/21	Gareth Kelly

3 December 2021	
Corporate Risk - Deep Dive Increasing Demand on Children’s Services risk with a focus on the impact of Covid-19 on Vulnerable Young People	Executive Director - People
Quarter 2 – Corporate Risk Report	Helen Kirk/Pam Duke
Draft Annual Treasury Management Strategy Statement 2022/2023	Pam Duke/Peter George
Internal Audit Progress Report 31 October 2021	Richard McGahon
Annual Audit Scope Letter	Gareth Kelly
External Audit – Annual Report	Gareth Kelly

24 March 2022	
Corporate Risk - Deep Dive Organisational impact of Local Government Reform risk.	Executive Director – Corporate, Customer and Community Services
Quarter 3 – Risk Report	Helen Kirk
Accounts Preparation 2021/22	Fiona Parker
Internal Audit Progress Report to 31 January 2022	Richard McGahon
Draft Internal Audit Plan 2022/23	Richard McGahon
External Audit – Audit Plan Cumbria County Council	Gareth Kelly
External Audit – Audit Plan Cumbria Local Government Pension Scheme	Gareth Kelly
External Audit – progress and update report	Gareth Kelly
External Audit – Teacher’s Pension return: Confirmation Letter	Gareth Kelly

27 June 2022	
Quarter 4 – Risk Report	Helen Kirk/Pam Duke
Annual Review Audit and Assurance Committee Effectiveness	Pam Duke
Accounts Preparation Update 2021/22	Pam Duke
Internal Audit Annual Report 2021/22	Richard McGahon
Draft Corporate Risk Register 2022/23	Helen Kirk/Pam Duke
Annual Governance Statement 2021/22	Iolanda Puzio
External Audit Plans for Cumbria County Council accounts	Gareth Kelly
External Audit Plans for LGPS accounts	Gareth Kelly
External Audit – Fees letter 2021/22	Gareth Kelly

8 September 2022	
Corporate Risk - Deep Dive	Risk Owner
Quarter 1 – Risk Report	Helen Kirk/Pam Duke
Internal Audit Progress Report to 31 July 2022	Richard McGahon
Senior Information Risk Owner (SIRO) and Information Governance Annual Report	Dawn Roberts
Local Government Social Care Ombudsman Annual Review Letter	Iolanda Puzio
External Audit Progress and Update Report 2022/23	Gareth Kelly