

# Appendix 1

## 2022/23 - CORPORATE RISK REFRESH STATUS Comparison of 2021/22 Quarter 4 risks & proposed 2022/23 Quarter 1 risks by Clusters

2021/22 QUARTER 4				2022/23 QUARTER 1		
Risk Headings by Cluster	Risk Score	Target score	Summary of risk changes	Risk heading reworded or new risk description, provisional and target risk scores for Q1.	Risk Score	Target Score
<b>CROSS CUTTING RISKS</b>						
LGR - Organisational Risk	16	16	This risk <b>will remain</b> on the 2022/23 risk register and the risk description <b>unchanged</b> .	LGR - Organisational Risk	16	16
Impact of COVID-19 on the provision of Council Services	15	15	This risk <b>will remain</b> on the 2022/23 risk register and the risk description <b>unchanged</b> .	Impact of COVID-19 on the provision of Council Services.	15	15
<b>PEOPLE RISKS</b>						
Resilience of the Care Sector and impact on meeting care needs.	25	25	This risk <b>will remain</b> on the 2022/23 risk register <b>with a change to the risk description</b> to reflect multiple pressures impacting the Social Care System.  Pressure coming from the ongoing impact of Covid-19, significant increases in the cost of living for staff, care workers and care providers, future Covid-19 variants of interest and pressures within the NHS.	Resilience of the Care Sector and impact on meeting care needs.  There is a risk that the stability and sustainability of the care market <b>will be further impacted by System Pressures including further variants and waves of COVID-19.</b>	25	25
Health and Social Care Demand and System Failure	25	25	This risk <b>will remain</b> on the 2022/23 risk register with a <b>proposed change to the risk description</b> to reflect the potential for further waves of COVID-19 and the increasing and significant impact of an increasingly fragile care market.	Health and Social Care Demand and System Failure  There is a risk that Health and Social Care Services will continue to experience an increase in unmanageable levels of demand and increased complexity of needs as an ongoing consequence of COVID-19, <b>subsequent waves of COVID-19 and/ or pressure on the health and social care system.</b>	25	25
Increasing Demand on Children's Services	16	16	This risk <b>will remain</b> on the 2022/23 risk register and the risk description <b>unchanged</b> however, <b>minor changes will be made to the causal factors</b> . Workforce capacity remains an ongoing concern for the current and future Council's to enable Children's Services to meet their full statutory requirements.	Increasing Demand on Children's Services	16	16
Management of Significant Contracts	10	10	This risk <b>will remain</b> on the 2022/23 risk register and the risk description <b>unchanged</b> . (TBC)	Management of Significant Contracts	10	10
Safeguarding of Children	10	10	This risk <b>will remain</b> on the 2022/23 risk register and the risk description <b>unchanged</b> . Workforce capacity remains an ongoing concern for the current and future Council's to enable Children's Services to meet their full statutory requirements.	Safeguarding of Children	10	10

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Safeguarding of Adults	10	10	This risk <b>will remain</b> on the 2022/23 risk register <b>with a minor addition to the risk description</b> to reflect concern about the possible splitting of the Safeguarding Service between 2 Unitary Council's and impacting the ability to meet their full statutory requirements and maintain a consistent approach across the County as a whole.	Safeguarding of Adults  There is a risk that there may be a serious failure in protecting adults at risk of abuse or neglect <b>and that the Council's statutory Safeguarding Adults duties are not met.</b>	10	10
Cumbria COVID-19 Local Outbreak Control Plan	9	8	This risk will remain on the 2022/23 risk register and <b>the risk description will change</b> to reflect the possible worst-case scenario, that there will be the resurgence of a new variant of concern that is able to break through the current vaccines or will impact due to waning immunity.  New variants are continuing to arise across the world so with this risk being highly uncertain and the impact potentially high, it will remain on the risk register reflecting a impact score of 5 and a likelihood of 2. The Planned arrangements remain in place to be able to respond quickly and escalate resources as needed.	Cumbria COVID-19 Local Outbreak Control Plan  There is a risk that there will be a resurgence of a further Covid-19 variant of concern that will cause significant harm.	10	10
<b>CORPORATE, CUSTOMER &amp; COMMUNITY SERVICES RISKS</b>						
Workforce Capacity	20	20	This risk will <b>remain</b> on the 2022/23 risk register and the risk description <b>unchanged.</b>	Workforce Capacity	20	20
Information Security Arrangements	15	15	This risk <b>remains</b> on the 2022/23 risk register and the risk description <b>unchanged.</b>  The threat to the security of Information and Data continues to increase and we strive to continually improve our internal controls to keep pace with this ever-changing threat.	Information Security Arrangements	15	15
<b>FINANCE RISK</b>						
Deliver a Financially Sustainable Authority	20	20	This risk <b>will remain</b> on the 2022/23 risk register and the risk description <b>unchanged</b> however, <b>there will be a greater focus on the actions required to mitigate the High Needs Block deficit.</b>	Deliver a Financially Sustainable Authority	20	20
<b>ECONOMY &amp; INFRASTRUCTURE</b>						
Become a Net Zero Climate Resilience Council	20	20	This risk will <b>remain</b> on the 2022/23 risk register and the risk description <b>unchanged.</b>	Become a Net Zero Climate Resilience Council	20	20